

DEC 18 2006

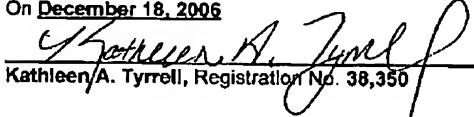
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: PENN-0789  
Inventors: Siegel et al.  
Serial No.: 10/046,504  
Filing Date: October 19, 2001  
Examiner: Fubara, Blessing M.  
Customer No.: 26259  
Group Art Unit: 1615  
Confirmation No.: 3358  
Title: Polymer-based Surgically  
Implantable Haloperidol Delivery  
Systems and Methods for Their  
Production and Use

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On December 18, 2006

  
Kathleen A. Tyrrell, Registration No. 38,350

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**Amendment under 35 C.F.R. 1.116**

This is a reply to the Office Action mailed October 19,  
2006 setting a three (3) month statutory period for  
response. Please enter the following remarks into the  
record.

Remarks begin on page 2 of this paper.

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## FACSIMILE COVER SHEET

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December 18, 2006

**TO:** Examiner Fubara  
**GROUP:** 1618  
**CONFIRMATION NO:** 3358  
**FAX NUMBER:** 571-273-8300  
**ATTORNEY DOCKET NO.:** PENN-0789  
**SERIAL NO.:** 10/046,504  
**FILED:** October 19, 2001  
**NUMBER OF PAGE:** 13

**MESSAGE:**

Attached please find Amendment Transmittal Letter, Reply under 37 CFR 1.116 to Office Action mailed October 19, 2006, with Declaration by inventor Dr. Siegel and Certificate of Transmission by Facsimile.

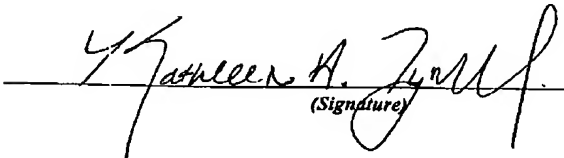
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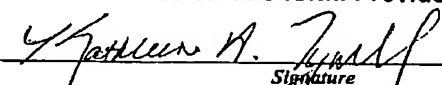
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DEC 18 2006

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): Siegel et al.			Docket No. PENN-0789	
Application No. 10/046,504	Filing Date October 19, 2001	Examiner Fubara, Blessing M.		Group Art Unit 1618
Invention: Polymer-based Surgically Implantable Haloperidol Delivery Systems and Methods for Their Production...				
<p>I hereby certify that this <u>Reply under 35 CFR 1.116 &amp; Declaration by Dr. Siegel</u>  <small>(Identify type of correspondence)</small></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>)</p> <p>on <u>December 18, 2006</u>  <small>(Date)</small></p> <div style="text-align: right; margin-top: 100px;"> <u>Kathleen A. Tyrrell</u>  <small>(Typed or Printed Name of Person Signing Certificate)</small>    <small>(Signature)</small> </div> <p style="text-align: center; margin-top: 50px;"> <b>Note: Each paper must have its own certificate of mailing.</b> </p>				

P18/REV02

DEC 18 2006

<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>					Docket No. <b>PENN-0789</b>	
Applicant(s): <b>Siegel et al.</b>						
Application No. <b>10/046,504</b>	Filing Date <b>October 19, 2001</b>	Examiner <b>Fubara, Blessing M.</b>	Customer No. <b>26259</b>	Group Art Unit <b>1618</b>	Confirmation No. <b>3358</b>	
Invention: <b>Polymer-based Surgically Implantable Haloperidol Delivery Systems and Methods for Their Production and Use</b>						
<b>COMMISSIONER FOR PATENTS:</b>						
Transmitted herewith is an amendment in the above-identified application.						
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27						
The fee has been calculated and is transmitted as shown below.						
<b>CLAIMS AS AMENDED</b>						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	8 -	20 =	0	x \$25.00	\$0.00	
INDEP. CLAIMS	2 -	3 =	0	x \$100.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>	
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>50-1619</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>						
 Signature <b>Kathleen A. Tyrrell, Reg. No. 38,350</b>			Dated: <b>December 18, 2006</b>			
CC:			I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date)			
			Signature of Person Mailing Correspondence			
			Typed or Printed Name of Person Mailing Correspondence			

P11SMALL/REV10